

ACAMS 15th Annual AML & Anti-Financial Crime Conference - Taiwan Fight Against the Next Generation of Risks

公認反洗錢師協會第十五屆防制洗錢與打擊金融犯罪會議 - 台灣

應對新一代風險

****CAMS 研習認證 6 Credits 認可****

■ 活動背景

隨著國際金融自由化程度日益提高、金融商品與網路科技的蓬勃發展，洗錢手法不斷翻新，而各國法律規範的差異，更使得跨境洗錢管道成為隱匿非法資金的暗角，大幅提高查緝洗錢交易之困難度。

本院特與公認反洗錢師協會(ACAMS)邀請海內外資深專家分享於各國政府打擊金融犯罪最新法規及措施、加密貨幣的洗錢趨勢與欺詐活動防範、有效的金融犯罪預防機制、新興技術如何增強檢測詐騙方法、銀行業如何安全穩健地開展虛擬資產業務等相關議題，幫助台灣與全球反洗錢趨勢接軌，本次課程將以實體與線上方式進行。

■ 活動日期

2024 年 1 月 17 日(星期三) 上午 9:00 至下午 5:00

■ 活動地點(敬請擇一報名)

實體：財團法人台灣金融研訓院(台北市羅斯福路三段 62 號)

(實體課程人數有限額滿為止)

線上：Cisco WebEx

■ 參加對象 ****銀行業防制洗錢及打擊資恐在職訓練進修認列 7 小時****

- 主管機關人員(含金融監督管理委員會、中央銀行、調查局等)；
- 各金融機構(含金控、銀行、證券、保險)之內控稽核、法遵法務、風險管理、財富管理、外匯、國際金融、貿易融資、存匯、企業金融、財務部門主管及從業人員；
- 金融業者相關公會及周邊單位主管及人員；
- 大專院校財金相關科系師生；
- 經濟金融相關研究單位研究人員；
- 律師及會計師事務所主管及從業人員；
- 對本議題有興趣之人員。

■ 參加費用 每人新台幣 7,500 元整 (愛學習點數價 750 點)

■ 優惠方案(不可使用愛學習點數) 3 人以上享九折優惠

報名本研討會者，因認證 CAMS 研習認證 6 Credits 所需，將提供個資(含姓名/機構/職稱/email/電話/地址)提供予本研討會主辦單位(公認反洗錢師協會)及贊助單位，報名前敬請務必閱讀並同意個資事項。

■ 主辦單位



台灣金融研訓院
TAIWAN ACADEMY OF BANKING AND FINANCE



公認反洗錢師協會

■ 活動議程 (本活動備有中英雙向同步口譯)

※本課程內容包含新修正法令、新種業務或新種金融商品，符合金融控股公司及銀行業內部控制及稽核制度實施辦法第 32 條規定

時間	議程
08:30 – 09:00	Registration 報到
09:00 – 09:15 (15 min)	Welcome Remarks 開幕致詞 財團法人台灣金融研訓院代表 Christine Lim, Head of Sales, Asia-Pacific, ACAMS 公認反洗錢師協會 亞太區銷售主管 林修玲
09:15 – 09:45 (30 min)	Keynote Address: (TBC) 主題演講：(敬邀中)
09:45– 10:45 (60 min)	(T1) Regulatory Update: Governments are Stepping in with Increased Measures Against Financial Crime 法規更新：各國政府正採取更多措施打擊金融犯罪 Moderator 主持人： Caryn Leong, CAMS, AML Director – APAC, ACAMS 公認反洗錢師協會 亞洲區反洗錢合規策略總監 Caryn Leong (CAMS) <ul style="list-style-type: none"> • Sharing global financial crime threats, fraud, and major regulatory actions 分享全球金融犯罪威脅、欺詐以及主要的監管行動 • Exploring Taiwan’s stepped-up efforts to help fight financial crime 探討台灣加強防制洗錢跟打擊金融犯罪的努力 • Discussing recent published inspection findings and common deficiencies against financial institutions and regulated organizations

時間	議程
	討論金融機構和受監管組織近期檢查發現以及常見缺失
10:45 – 11:15	Networking Break 休息時間
11:15 – 12:15 (60 min)	<p>(T2) Some Things Old, Some Things New 新舊交替 · 更新換代</p> <p>Speakers 講者： LO Wei Yuan, Prosecutor, Taiwan New Taipei District Prosecutors Office 臺灣臺北地方檢察署 檢察官 羅韋淵</p> <ul style="list-style-type: none"> • Exploring the growing popularity of cryptocurrency as a payments channel and the parallel rise of crypto-related money laundering trends and scams 探討加密貨幣作為支付渠道的日益普及，以及與之同時出現的與加密貨幣相關的洗錢趨勢和欺詐活動的上升 • Discussing how bad actors are leveraging more sophisticated techniques to evade detection by traditional money laundering and fraud prevention measures 討論不法之徒如何利用更複雜的技巧來規避洗錢與欺詐行為的檢測 • Examining alternative payment methods and cryptocurrency are compounding complexity 研究替代支付方式和加密貨幣如何增加檢測的複雜性
12:15 – 13:15	Networking Luncheon 交流和午膳
13:15 – 14:15 (60 min)	<p>(T3) Driving Greater Financial Crime Prevention Through Stronger Controls and Mitigations 通過更强的控制和緩解措施，推動更好的金融犯罪預防機制</p> <p>Speakers 講者： Brian Huang, Chairman, Institute of Internal Auditor, Chinese Taiwan; Advisor, Darwin Venture Management Co. Ltd. 中華民國內部稽核協會理事長 暨 達盈管顧公司顧問 黃允暉</p> <ul style="list-style-type: none"> • Examining crossovers between money laundering and fraud risks 探討洗錢和欺詐風險之間的交叉點 • Establishing a visible, consistent, top-down approach to AFC prevention with a similar attitude to business ethics and professionalism

時間	議程
	<p>建立一個明確、一致的自上而下的防制洗錢和防欺詐方法，同時對待商業道德和職業精神採取類似的態度</p> <ul style="list-style-type: none"> • Taking steps to reduce the risk by developing an anti-financial crime culture across your business <p>建立企業內部防制洗錢和打擊金融犯罪文化來降低風險</p> <ul style="list-style-type: none"> • Managing the prevention, detection and prosecution of AFC risks <p>管理 AFC 風險的預防、檢測和起訴</p>
<p>14:15 – 15:15 (60 min)</p>	<p>(T4) Detection of Deception: How Technology is Augmenting Traditional Approaches</p> <p>檢測詐騙：新技術如何增強傳統方法</p> <ul style="list-style-type: none"> • Delineating the different fraud and scam typologies and red flags 劃分不同的欺詐和詐騙類型及其警示信號 • Detecting fraud using AI /deep learning approach/big data approach and more 利用人工智能、深度學習方法、大數據等方法檢測欺詐行為 • Sharing best practice in fraud detecting and investigations process including fraud monitoring mode, transaction analysis, computer forensics and more 分享檢測詐騙和調查過程中的最佳實踐，包括欺詐監控模式、交易分析、計算機取證等內容
<p>15:15 – 15:45</p>	<p>Networking Break 休息時間</p>
<p>15:45 – 16:45 (60 min)</p>	<p>(T5) Strike a Balance Between Development and Risk: Banking Industry How to Execute Virtual Assets Business Safely and Soundly</p> <p>在發展與風險中取得平衡：銀行業如何安全穩健地開展虛擬資產業務</p> <p>Moderator 主持人： Lynn Li, CAMS-Audit, AML Director – Greater China, ACAMS 公認反洗錢師協會 大中華區反洗錢合規策略總監 李娜 (CAMS-Audit)</p> <p>Speakers 講者： Audrey Wong, CAMS, Director, Crypto Services and</p>

時間	議程
	<p>Financial Crime Solutions in Cyber and Intelligence (C&I) Solutions, Asia Pacific, Mastercard 萬事達卡 加密服務與金融犯罪解決方案總監 黃家慧 (CAMS)</p> <ul style="list-style-type: none"> • Discussing how banking industry to avoid de-risking and identify and reduce the money laundering risks of VASP 探討銀行業如何避免“去風險化”，識別和降低虛擬資產服務提供商 (VASP) 的洗錢風險 • Helping banking industry to apply the currency flow tracking system for reducing the money laundering risk of virtual assets 幫助銀行業應用貨幣流動追蹤系統，以降低虛擬資產的洗錢風險 • Evaluating the trends and future prospects of cooperation between banks and virtual asset players 評估銀行與虛擬資產參與者之間合作的趨勢和未來前景
16:45 – 17:00 (15 min)	<p>Closing Remarks 閉幕致辭</p> <p>Caryn Leong, CAMS, AML Director – APAC, ACAMS 公認反洗錢師協會 亞洲區反洗錢合規策略總監 Caryn Leong (CAMS)</p>

*本研討會恕不提供講義紙本。

*主辦單位保留變更本活動內容、講座及時地安排等權利；活動資訊如有異動，以本院網站更新公告為準。

■ 報名方式

請自即日起至 2024 年 1 月 5 日(星期五)前，依下列方式報名：

***敬請擇一報名，報名完成後恕無法提供變更**

- 活動網頁：<https://web.tabf.org.tw/page/2024AML/>
 - 實體課程(於台灣金融研訓院)(**人數有限額滿為止**)：
<http://www.tabf.org.tw/Training/CourseDetail.aspx?PID=569532>
 - 線上課程：(Cisco WebEx)
<http://www.tabf.org.tw/Training/CourseDetail.aspx?PID=569534>
- E-mail 報名：請依式填具報名表，填妥後 e-mail 至 regosd@tabf.org.tw，本院客戶服務中心彙辦。

■ 其他事項

- 報名後如欲取消，請於 2024 年 1 月 5 日(星期五)前以書面通知本院客戶服務中心；如未辦理書面取消手續，仍須照常收費。

- 請各參加人員於活動開始前 20 分鐘逕至舉辦地點報到，恕不再另函通知。如有活動異動或調整事宜，將以簡訊或 e-mail 通知。
- 本院保留變更本活動內容及講座、講題、時間安排等權利；活動資訊如有異動，以本院網站更新公告為準。
- 如有其他洽詢事宜，請賜電本院客戶服務中心報名專線辦理(電話：02-33653666 轉機 614)。

■ 附錄

SPEAKER FACULTY 講者簡介

(Surname in Alphabetical Order 根據英文姓氏字母排序)



Brian Huang

Chairman, Institute of Internal Auditor, Chinese Taiwan

Advisor, Darwin Venture Management Co. Ltd.

黃允暉

中華民國內部稽核協會 理事長 暨
達盈管顧公司 顧問

Brian Huang is currently the chairman of the Institute of Internal Audit, Chinese Taiwan, also serves as an advisor for Darwin Venture Management Co., Ltd. He has over 25 years of banking experience including digital banking, cash management products, Fintech, Compliance, AML/Sanction and Internal Control and over 15 years in AML/CFT including: Correspondent Banking, CDD/EDD, Sanctions Scanning, transaction monitoring, SAR investigation and reporting, and continue to pay attention to many emerging issues such as ESG and Virtual Assets. He used to work in Cathay United Commercial Bank, China Development Financial Holdings and Industrial Bank, Bank of America Taipei branch as Chief Compliance & AML officer, and has ever worked in Citibank, HSBC, ABN Amro and others. Mr. Huang obtained a bachelor's degree from the Department of Information Management, National Central University, and holds MBA and LLM degree, both from National Cheng-chi University. He is currently studying for DBA (Doctor of Business Administration) program in National Cheng-chi University.

黃允暉目前為中華民國內部稽核協會理事長及達盈管顧公司顧問。他有超過 25 年的銀行工作經驗，包括電子金融、現金管理產品、金融科技、法令遵循、洗錢防制以及內部控制等，並在 AML/CFT 領域有 15 年以上的經驗，包括：通匯銀行，

CDD/EDD, 制裁掃描，交易監控，異常交易調查與可疑交易申報等，且對於許多新興議題如 ESG、Virtual Asset 都持續關注。他曾於國泰世華商業銀行、中華開發金控與工業銀行、美國銀行，擔任總機構法遵主管及洗錢防制專責主管，也曾任職於花旗銀行、匯豐銀行以及荷蘭銀行等。

黃先生是從國立中央大學資訊管理系獲得學士學

	<p>位，並自國立政治大學先後獲得商學碩士與法學碩士，目前也在國立政治大學商學院資訊管理系進修 DBA 博士。</p>
 <p>Caryn Leong, CAMS AML Director – APAC ACAMS Caryn Leong, CAMS 公認反洗錢師協會 亞洲區反洗錢合規策略總監</p>	<p>Caryn is the Regional Anti-Money Laundering (AML) Director – APAC for ACAMS, where she is the lead English speaking SME practitioner on AML and Financial Crime Compliance across the APAC region. Working closely with APAC and international colleagues, Caryn plays a key role in shaping ACAMS priorities, offerings, and activities across the APAC region.</p> <p>Caryn brings to ACAMS a wealth of AFC global banking experience that spans Standard Chartered, JP Morgan, Clearstream Banking, UBS AG, ABN Amro and Bank of America. Most recently she was the Head of Financial Crime Compliance Controls, Surveillance Excellence with Standard Chartered Bank, where she was responsible for the end to end process, governance, and risk management of the group wide alert disposition process. Caryn’s expertise covers Client Due Diligence, financial crime business advisory, project management, governance, risk management, and the overarching financial crime compliance framework.</p>
 <p>Lynn Li, CAMS-Audit AML Director – Greater China ACAMS 李娜 (CAMS-Audit) 公認反洗錢師協會 大中華區反洗錢合規策略總監</p>	<p>Lynn Li, CAMS-Audit, is currently the AML Director – Greater China of ACAMS. She has more than 20 years of work experience in financial institutions. She has served as Chief Compliance Officer of Anti-Financial Crime department in several international banks and financial technology companies in China, and has also served as partner of a compliance service line in well-known international consulting companies.</p> <p>Ms. Li participated in the FATF's first assessment of China during her tenure in a foreign bank. She was granted "Outstanding Anti-Money Laundering Individual" by PBOC every year from 2008 to 2015. In 2013, she was rated as a national "outstanding anti-money laundering individual". In 2014, the financial institution that she served won the title of "Outstanding Anti-Money Laundering Organization".</p> <p>In 2018, the artificial intelligence due diligence service solution designed by the team which Ms. Li led won the financial technology award from the British Trade Department.</p> <p>She was appointed as an expert of Zhejiang Cross-border E-commerce Integrated Service Application Technology Collaborative Innovation Center.</p> <p>李娜女士現任 ACAMS 公認反洗錢師協會大中華區反洗錢合規策略總監。李娜擁有 20 多年的銀行業經驗。她先後在多家國際銀行、金融科技公司擔任中國</p>

	<p>反金融犯罪合規總監，並在國際知名諮詢公司擔任反金融犯罪合規合夥人。</p> <p>李娜女士在外資銀行任職期間參加了 FATF 對中國的第一次評估。李娜被監管委任為機構洗錢風險評估工作組牽頭人，參與設計了地方金融行業機構洗錢風險評估標準。李娜在知名諮詢公司合夥人期間帶領團隊支持中國 FATF 第四輪互評項目。</p> <p>李娜在 2008 年到 2015 年間每年都被 PBOC 評為“反洗錢傑出個人”。2013 年被評為國家級“反洗錢傑出個人”。2014 年，帶領團隊榮獲“卓越反洗錢機構”稱號。2018 年，李娜女士帶領團隊設計的人工智能盡職調查服務的解決方案獲得了英國貿易部授予的金融技術獎項。李娜女士被委任為浙江省跨境電商綜合服務應用技術協同創新中心專家。</p> <p>李娜女士多次受到中國人民銀行、中國銀行業監督管理委員會、中國銀行業協會、國際商會、中國工商銀行、中國銀行、中國銀行香港分行、臺灣金融研究所、中國人民保險、中國建設銀行、交通銀行、中國銀聯、黃金交易所、中國註冊會計師協會、中國保險行業協會等的邀請。為監管者、高級管理層、合規人員提供講座和培訓。</p>
 <p>Christine Lim Head of Sales, Asia-Pacific ACAMS</p> <p>林修玲</p> <p>公認反洗錢師協會 亞太區銷售主管</p>	<p>Christine is a proficient business development senior leader with 15+ years of experience in Asia Pacific across the media, executive education and AML industries. Currently, Christine leads the Sales Team at ACAMS across the Asia Pacific region. Prior to ACAMS, she held a variety of leadership roles in Business Development at INSEAD, Bloomberg, New York Times.</p> <p>Christine is a strong advocate of Diversity, Equity & Inclusion and is a D&I Champion at ACAMS.</p>



LO Wei Yuan

Prosecutor
**Taiwan New Taipei District
Prosecutors Office**

羅韋淵

臺灣臺北地方檢察署
檢察官

自 2011 年起擔任檢察官迄今，擔任檢肅黑金專組檢察官，負責偵辦重大金融違法案件。另為臺北地檢署涉外小組成員，負責處理刑事司法互助案件，有廣泛參與國際事務之經驗。並於 2022 年經法務部選派至美國哈佛大學擔任訪問學者

於 2019 年獲法務部選派至美國華盛頓參加由美國財政部國家稅務局犯罪調查處 (IRS-CI) 舉辦之網路犯罪研討會，嗣於 2023 年復參加由美國麻州檢察長辦公室主辦之全美電腦犯罪會議，對於虛擬貨幣詐欺、洗錢犯罪、電腦犯罪、科技偵查有研究及實務偵辦經驗，獲行政院洗錢防制辦公室、警政署、法官學院、法務部司法官學院、臺灣高等檢察署及執法機關、公認反洗錢師協會、金融機構等邀請擔任講座，講授虛擬貨幣犯罪、科技偵查實務經驗。

於赴美期間取得國際知名區塊鏈分析公司 TRM Labs 虛擬貨幣基礎認證 (TRM-CFC)、虛擬貨幣調查人員認證 (TRM-CI)、高階虛擬貨幣調查人員認證 (TRM-ACI) 及虛擬貨幣法遵專家認證 (TRM-CCS)。另參與美國檢察官、執法機關所組成之虛擬貨幣犯罪研究團體，就虛擬貨幣犯罪偵查與美國檢察官、執法機關有深入之交流。



Audrey Wong, CAMS

Director, Crypto Services and Financial
Crime Solutions in Cyber and
Intelligence (C&I) Solutions, Asia
Pacific
Mastercard

Audrey Wong is Director of Crypto Services & Financial Crime Solutions in Cyber & Intelligence (C&I) Solutions, Asia Pacific at Mastercard.

In this role, she oversees range of compliance (including crypto/ digital currencies), anti-money laundering, investigation and transactional monitoring products and capabilities that enables continuous monitoring, safety and security of all payment rails (i.e. wallets, accounts to accounts, card etc) for consumers, merchants, partners, governments and law enforcers.

Audrey joined Mastercard in 2015 after a variety of risk and regulatory roles in banking and exchanges across United Kingdom, China, Hong Kong and Singapore. Audrey graduated with a Bachelor of Accounting degree at Nanyang Technological University. She is certified Chartered Account of Singapore and Certified Anti-Money Laundering Specialists

<p>黃家慧 (CAMS)</p> <p>萬事達卡</p> <p>加密服務與金融犯罪解決方案總監</p>	
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